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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/847,936
	Filing Date	May 3, 2001
	First Named Inventor	H. Kirk HAMMOND
	Group Art Unit	1646
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	26 pages + 47 refs	Attorney Docket No. 220002057125

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Form PTO-1449 + copy - 22 pages• Forty-seven (47) references• Return receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Catherine M. Polizzi
Date	September 6, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 6, 2002.
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PATENT
Docket No. 220002057/25
Client Ref. 94-161-9

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

H. Kirk HAMMOND et al.

Serial No.: 09/847,936

Filing Date: May 3, 2001

For: TECHNIQUES AND COMPOSITIONS
FOR TREATING CARDIOVASCULAR
DISEASE BY IN VIVO GENE
DELIVERY

Examiner: To Be Assigned

Group Art Unit: 1646

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 49-59 and 172-207 are also submitted herewith. The documents numbered 1-10, 21-45 and 60-150 were previously submitted in an Information Disclosure Statement directed to the related application Serial Number 09/021,773, filed February 11, 1998, and the documents numbered 11-20 and 151-171 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/132,167, filed August 10, 1998, and, accordingly, copies are not included herewith.

This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

Please note, the documents numbered 46, 47 and 48 correspond to the PCT application documents numbered 27, 56 and 27 respectively.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 220002057125. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 6, 2002

Respectfully submitted,

By: 

Catherine M. Polizzi
Registration No. 40,130

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Form PTO-1449

Docket Number 220002057125

Application Number 09/847,936

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

H. Kirk HAMMOND et al.

Filing Date May 3, 2001

Group Art Unit 1646

Mailing Date September 6, 2002

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/10/1991	5,071,962	Morrison et al.			
	2.	11/23/1993	5,264,618	Felgner et al.			
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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	21.	05/16/1991	WO 91/06309	WIPO			
	22.	11/14/1991	WO 91/17424	WIPO			

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Form PTO-1449

Docket Number 220002057125

Application Number 09/841,336

PTO/SB/08 (2-92)

Sheet 2 of 11

INFORMATION DISCLOSURE CITATION
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23.	05/29/1992	WO 92/08796	WIPO				
24.	04/01/1993	WO 93/06223	WIPO			Abstract	
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27.	05/26/1994	WO 94/11506	WIPO				
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49.	03/21/1996	28533/95	Australia				
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Docket Number 220002057125

Application Number 09/847,936

INFORMATION DISCLOSURE CITATION
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Applicant

H. Kirk HAMMOND et al.

Filing Date May 3, 2001

Group Art Unit 1646

Mailing Date September 6, 2002

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Form PTO-1449		Docket Number 220002057125	Application Number 09/844,236
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant H. Kirk HAMMOND et al.	
		Filing Date May 3, 2001	Group Art Unit 1646
		Mailing Date September 6, 2002	
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Form PTO-1449

Docket Number 220002057125

Application Number 02/847,936

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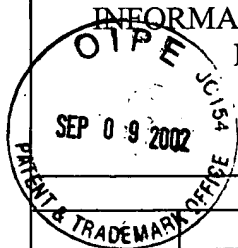
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Application Number 09/847,196

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PTO/SB/08 (2-92)
Sheet 6 of 1
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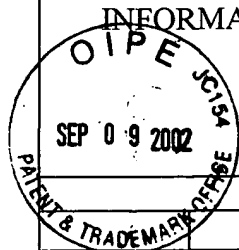
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant H. Kirk HAMMOND et al.	
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